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UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

SOUTHERN DISTRICT OF NEW YORK	
In re: LEHMAN BROTHERS HOLDINGS INC., et al., Debtor.	Chapter 11 Case No. 08-13555 (JMP)
In re: LEHMAN BROTHERS INC., Debtor.	(Jointly Administered) SIPA Proceeding Case No. 08-01420 (JMP)
THE OFFICIAL COMMITTEE OF UNSECURED UNSECURED CREDITORS OF LEHMAN BROTHERS HOLDINGS, et al.,	Adversary No. 09-01733 (JMP)
Plaintiff, v. BARCLAYS CAPITAL INC.,	
Defendant.	

BARCLAYS CAPITAL INC.'S COUNTER-DESIGNATION OF ADDITIONAL ITEMS TO BE INCLUDED IN THE RECORD ON APPEAL IN CONNECTION WITH THE CREDITORS' COMMITTEE'S APPEAL FROM THE JULY 15, 2011 ORDERS OF THE BANKRUPTCY COURT

Barclays Capital Inc. ("Barclays"), by and through its attorneys, hereby submits, under Federal Rule of Bankruptcy Procedure 8006, the following counter-designation of items for inclusion in the appellate record in connection with the appeal of the Official Committee of Unsecured Creditors Of Lehman Brothers Holdings, Inc. Barclays reserves its right to designate additional items for inclusion in the record and/or restate issues presented on appeal if any motions are granted subsequent to the filing of this designation which affect the appealed Orders. For items designated, the designation includes all documents referenced with the particular document number including, without limitation, all exhibits, attachments, declarations and affidavits related thereto.

DESIGNATION OF RECORD

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Additional Materials	24

Documents Filed in Both Case Nos. 08-01420 and 08-13555 (JMP) (In re Lehman Brothers Inc. and In re Lehman Brothers Holdings, Inc.)

Counter- Designation No.	Filing Date	No. (08-01420 listed first)	Description (Docket Text)
1	10/10/2008	89 786	Statement: Lehman Brothers Holdings Inc. et al.'s Statement of Issues Presented on Appeal and Counterdesignation of Additional Items to be Included in the Record on Appeal in Connection with the Appeal of Bay Harbour Management L.C., Bay Harbour Master Ltd. et al. from Orders of Bankruptcy Court Entered September 20, 2008 filed by Harvey R. Miller on behalf of Lehman Brothers Holdings Inc (Miller, Harvey) (Entered: 10/10/2008)
2	10/10/2008	91 801	Statement: Lehman Brothers Holdings Inc. et al.'s Amended Statement of Issues Presented on Appeal and Counterdesignation of Additional Items to be Included in the Record on Appeal in Connection with the Appeal of Bay Harbour Management L.C., Bay Harbour Master Ltd. et al. from Orders of Bankruptcy Court Entered September 20, 2008 (related document(s) 786) filed by Harvey R. Miller on behalf of Lehman Brothers Holdings Inc (Miller, Harvey) (Entered: 10/10/2008)
3	10/10/2008	93 810	Declaration of Luke A. Barefoot, dated October 9, 2008 (related document(s) 809) filed by Lisa M. Schweitzer on behalf of Barclays Capital, Inc (Schweitzer, Lisa) (Entered: 10/10/2008)
4	10/10/2008	94 811	Declaration of Mario Mendolaro, dated October 9, 2008 (related document(s) 809) filed by Lisa M. Schweitzer on behalf of Barclays Capital, Inc (Schweitzer, Lisa) (Entered: 10/10/2008)
5	10/12/2008	111 896	Statement of Issues /Barclays Capital, Inc.'s Statement of Issues Presented on Appeal and Counterdesignation of Additional Items to be Included in the Record on Appeal in Connection with the Appeal of Bay Harbour Management L.C., Bay Harbour Master Ltd. et al. from Orders of Bankruptcy Court Entered September 20, 2008, dated October 13, 2008 (related document(s) 262, 801, 502) filed by Lisa M. Schweitzer on behalf of Barclays Capital, Inc (Schweitzer, Lisa) (Entered: 10/13/2008)

6	12/02/2008	367 1986	Reply to Motion/Reply to Responses to Motion of Barclays Capital Inc. for Relief Concerning Certain Contracts Erroneously Posted with the "Closing Date Contracts", dated December 2, 2008 (related document(s) 809) filed by Lindsee Paige Granfield on behalf of Barclays Capital, Inc (Granfield, Lindsee) (Entered: 12/02/2008)
7	9/24/2009	1747 5270	Motion to Join JOINDER OF DEBTOR LBHI WITH THE COMMITTEE'S MOTION PURSUANT TO 11 U.S.C. §§ 105(a) AND 107(a) TO UNSEAL MOTIONS FOR RELIEF MODIFYING THE SEPTEMBER 20, 2008 SALE ORDER (AND RELATED SIPA SALE ORDER (related document(s) 5269) filed by William J. Hine on behalf of Lehman Brothers Holdings Inc (Attachments: # 1 Exhibit 1# 2 Exhibit 2# 3 Exhibit 3# 4 Exhibit 4) (Hine, William) (Entered: 09/24/2009)
8	10/15/2009	1960 5531	Motion to Approve / Notice of Filing of Unsealed Committee Rule 60 Motion And Related Appendixes (related document(s) 5169) filed by James Tecce on behalf of Official Committee of Unsecured Creditors. (Tecce, James) (Entered: 10/15/2009)

9	10/16/2009	1962	Statement / Appendix Volume II to Motion of
	10/10/2007	1702	Official Committee of Unsecured Creditors of
		5532	Lehman Brothers Holdings Inc., et al., Pursuant
		3332	to 11 U.S.C. Section 105(a), Fed. R. Civ. P.
			60(b), and Fed. R. Bankr. P. 9024, for Relief from
			Order Under 11 U.S.C. Sections 105(a), 363, and
			365 and Federal Rules Of Bankruptcy Procedure
			2002, 6004 and 6006 Authorizing and Approving
			(A) Sale of Purchased Assets Free and Clear of
			Liens and Other Interests and (B) Assumption
			and Assignment Of Executory Contracts and
			Unexpired Leases, Dated September 20, 2008
			(and Related SIPA Sale Order) and Joinder in
			Debtors' and SIPA Trustees Motions for an Order
			Under Rule 60(b) to Modify Sale Order (related
			document(s) 5169) filed by James Tecce on
			behalf of Official Committee of Unsecured
			Creditors. (Attachments: # 1 Exhibit 19# 2
			Exhibit 20# 3 Exhibit 21# 4 Exhibit 22# 5 Exhibit
			23# 6 Exhibit 24# 7 Exhibit 25# 8 Exhibit 26# 9
			Exhibit 27# 10 Exhibit 28# 11 Errata 29# 12
			Exhibit 30# 13 Exhibit 31# 14 Exhibit 32# 15
			Exhibit 33# 16 Exhibit 34# 17 Exhibit 35# 18
			Exhibit 36# 19 Exhibit 37# 20 Exhibit 38# 21
			Exhibit 39# 22 Exhibit 40# 23 Exhibit 41# 24
			Exhibit 42# 25 Exhibit 43# 26 Exhibit 44)
			(Tecce, James) (Entered: 10/16/2009)

10	10/16/2009	1963	Statement / Annandir Volume II to Metics of
10	10/10/2009	1903	Statement / Appendix Volume II to Motion of
			Official Committee of Unsecured Creditors of
		5533	Lehman Brothers Holdings Inc., et al., Pursuant
			to 11 U.S.C. Section 105(a), Fed. R. Civ. P.
			60(b), and Fed. R. Bankr. P. 9024, for Relief from
			Order Under 11 U.S.C. Sections 105(a), 363, and
			365 and Federal Rules Of Bankruptcy Procedure
			2002, 6004 and 6006 Authorizing and Approving
			(A) Sale of Purchased Assets Free and Clear of
			Liens and Other Interests and (B) Assumption
			and Assignment Of Executory Contracts and
			Unexpired Leases, Dated September 20, 2008
			(and Related SIPA Sale Order) and Joinder in
			Debtors' and SIPA Trustees Motions for an Order
			<i>Under Rule 60(b) to Modify Sale Order</i> (related
			document(s) 5169) filed by James Tecce on
			behalf of Official Committee of Unsecured
			Creditors. (Attachments: # 1 Exhibit 19# 2
			Exhibit 20# 3 Exhibit 21# 4 Exhibit 22# 5 Exhibit
			23# 6 Exhibit 24# 7 Exhibit 25# 8 Exhibit 26# 9
			Exhibit 27# 10 Exhibit 28# 11 Errata 29# 12
			Exhibit 30# 13 Exhibit 31# 14 Exhibit 32# 15
			Exhibit 33# 16 Exhibit 34# 17 Exhibit 35# 18
			Exhibit 36# 19 Exhibit 37# 20 Exhibit 38# 21
			Exhibit 39# 22 Exhibit 40# 23 Exhibit 41# 24
			Exhibit 42# 25 Exhibit 43# 26 Exhibit 44)
			(Tecce, James) (Entered: 10/16/2009)

11	10/16/2009	1964 5534	Statement / Appendix Volume III to Motion of Official Committee of Unsecured Creditors of Lehman Brothers Holdings Inc., et al., Pursuant to 11 U.S.C. Section 105(a), Fed. R. Civ. P. 60(b), and Fed. R. Bankr. P. 9024, for Relief from Order Under 11 U.S.C. Sections 105(a), 363, and 365 and Federal Rules Of Bankruptcy Procedure 2002, 6004 and 6006 Authorizing and Approving (A) Sale of Purchased Assets Free and Clear of Liens and Other Interests and (B) Assumption and Assignment Of Executory Contracts and Unexpired Leases, Dated September 20, 2008 (and Related SIPA Sale Order) and Joinder in Debtors' and SIPA Trustees Motions for an Order Under Rule 60(b) to Modify Sale Order (related document(s) 5169) filed by James Tecce on behalf of Official Committee of Unsecured Creditors. (Attachments: # 1 Exhibit 45# 2 Exhibit 46# 3 Exhibit 47# 4 Exhibit 48# 5 Exhibit 49# 6 Exhibit 50# 7 Exhibit 51# 8 Errata 52# 9
			Exhibit 53# 10 Exhibit 54) (Tecce, James) (Entered: 10/16/2009)
12	12/02/2009	2141 6006	Affidavit of Todd Thomas in Support of Barclays' Motion to Compel Production of Documents from the Trustee and the Committee Based on Privilege Waiver (related document(s) 6005) filed by Hamish Hume on behalf of Barclays Capital, Inc (Attachments: # 1 Exhibit 1# 2 Exhibit 2# 3 Exhibit 3# 4 Exhibit 4# 5 Exhibit 5# 6 Exhibit 6# 7 Exhibit 7) (Hume, Hamish) (Modified on 12/2/2009 to Attach Corrected Exhibit 7) (Richards, Beverly). (Entered: 12/02/2009)
13	12/07/2009	2270 6066	Declaration of William R. Maguire in Support of the Trustee's Memorandum of Law in Opposition to Barclays' Motion to Compel Production of Documents from the Trustee and Committee Based on Privilege Waiver filed by William R. Maguire on behalf of James W. Giddens, as Trustee for the SIPA Liquidation of Lehman Brothers Inc with hearing to be held on 12/10/2009 at 02:00 PM at Courtroom 601 (JMP) (Maguire, William) (Entered: 12/07/2009)

1 /	01/29/2010	2592	Statement Volume I of Appendix to Memorandum
14	01/2//2010	2583	of Barclays Capital Inc. in Opposition to the Rule
			60 Motions and in Support of Motion of Barclays
		6816	Capital Inc. to Enforce the Sale Order and Secure
			Delivery of All Undelivered Assets filed by
			Jonathan D. Schiller on behalf of Barclays
			Capital, Inc (Attachments: #1 Exhibit Exhibits
			1 - 9# 2 Exhibit Exhibits 10 - 13# 3 Exhibit
			Exhibits 14 - 15# 4 Exhibit Exhibits 16 - 21# 5
			Exhibit Exhibits 22 - 25# 6 Exhibit Exhibits 26 -
			29) (Schiller, Jonathan) (Entered: 01/29/2010)
15	01/29/2010	2584	Statement Volume II of Appendix to
			Memorandum of Barclays Capital Inc. in
		6817	Opposition to the Rule 60 Motions and in Support
		0017	of Motion of Barclays Capital Inc. to Enforce the
			Sale Order and Secure Delivery of All
			Undelivered Assets filed by Jonathan D. Schiller
			on behalf of Barclays Capital, Inc (Attachments:
			# 1 Exhibit Exhibits 30 - 40# 2 Exhibit Exhibits
			41 - 46# 3 Exhibit Exhibits 47 - 49) (Schiller,
			Jonathan) (Entered: 01/29/2010)
16	01/29/2010	2585	Statement Volume III of Appendix to
		6010	Memorandum of Barclays Capital Inc. in
		6818	Opposition to the Rule 60 Motions and in Support
			of Motion of Barclays Capital Inc. to Enforce the
			Sale Order and Secure Delivery of All
			Undelivered Assets filed by Jonathan D. Schiller
			on behalf of Barclays Capital, Inc (Attachments: # 1 Exhibit Exhibits 50 - 53# 2 Exhibit Exhibits
			54 - 55# 3 Exhibit Exhibits 56 - 58# 4 Exhibit
			Exhibits 59 - 62# 5 Exhibit Exhibits 63 - 66)
			(Schiller, Jonathan) (Entered: 01/29/2010)
17	01/29/2010	2586	Statement Volume IV of Appendix to
1/	01/2//2010	2500	Memorandum of Barclays Capital Inc. in
		6819	Opposition to the Rule 60 Motions and in Support
			of Motion of Barclays Capital Inc. to Enforce the
			Sale Order and Secure Delivery of All
			<i>Undelivered Assets</i> filed by Jonathan D. Schiller
			on behalf of Barclays Capital, Inc (Attachments:
			# 1 Exhibit Exhibits 67 - 69# 2 Exhibit Exhibits
			70 - 73# 3 Exhibit Exhibits 74 - 76# 4 Exhibit
			Exhibits 77 - 78# 5 Exhibit Exhibits 79 - 80# 6
			Exhibit Exhibits 81 - 83) (Schiller, Jonathan)
			(Entered: 01/29/2010)

			T
18	01/29/2010	2587	Statement Volume V of Appendix to
			Memorandum of Barclays Capital Inc. in
		6820	Opposition to the Rule 60 Motions and in Support
			of Motion of Barclays Capital Inc. to Enforce the
			Sale Order and Secure Delivery of All
			Undelivered Assets filed by Jonathan D. Schiller
			on behalf of Barclays Capital, Inc (Attachments:
			# 1 Exhibit Exhibits 84 - 86# 2 Exhibit Exhibits
			87 - 91# 3 Exhibit Exhibits 92 - 96# 4 Exhibit
			Exhibits 97 - 101# 5 Exhibit Exhibits 102 - 130)
			(Schiller, Jonathan) (Entered: 01/29/2010)
19	01/29/2010	2588	Statement Volume VI of Appendix to
			Memorandum of Barclays Capital Inc. in
		6821	Opposition to the Rule 60 Motions and in Support
			of Motion of Barclays Capital Inc. to Enforce the
			Sale Order and Secure Delivery of All
			Undelivered Assets filed by Jonathan D. Schiller
			on behalf of Barclays Capital, Inc (Attachments:
			# 1 Exhibit Exhibit 131# 2 Exhibit Exhibits 132 -
			140# 3 Exhibit Exhibits 141 - 154# 4 Exhibit
			Exhibits 155 - 173# 5 Exhibit Exhibits 174 - 179#
			6 Exhibit Exhibits 180 - 221) (Schiller, Jonathan)
			(Entered: 01/29/2010)
20	01/29/2010	2589	Statement Volume VII of Appendix to
20			Memorandum of Barclays Capital Inc. in
		6822	Opposition to the Rule 60 Motions and in Support
			of Motion of Barclays Capital Inc. to Enforce the
			Sale Order and Secure Delivery of All
			Undelivered Assets filed by Jonathan D. Schiller
			on behalf of Barclays Capital, Inc (Attachments:
			# 1 Exhibit Exhibits 222 - 250# 2 Exhibit
			Exhibits 251 - 282# 3 Exhibit Exhibits 283 - 319#
			4 Exhibit Exhibits 320 - 338) (Schiller, Jonathan)
			(Entered: 01/29/2010)
21	01/29/2010	2590	Statement Volume VIII of Appendix to
21			Memorandum of Barclays Capital Inc. in
		6823	Opposition to the Rule 60 Motions and in Support
		_	of Motion of Barclays Capital Inc. to Enforce the
			Sale Order and Secure Delivery of All
			Undelivered Assets filed by Jonathan D. Schiller
			on behalf of Barclays Capital, Inc (Attachments:
			# 1 Exhibit Exhibits 339 - 341# 2 Exhibit Exhibit
			342# 3 Exhibit Exhibit 343# 4 Exhibit Exhibits
			344 - 350# 5 Exhibit Exhibits 351 - 370# 6
			Exhibit Exhibits 371 - 380) (Schiller, Jonathan)
			(Entered: 01/29/2010)
			(Littered: 01/2//2010)

	4/5/6040	9062	
22	4/5/2010	8062	Unsealed Appendix (volume VII) to Debtor's Reply Brief in Further Support of Its Motion for
		2995	an Order, Pursuant to Fed. R. Civ. P. 60 and Fed.
			R. Bankr. P. 9024, Modifying the September 20,
			2008 Sale Order and Granting Other Relief
23	04/05/2010	2997	Statement Appendix To Reply Memorandum Of
		0077	Barclays Capital Inc. In Further Support Of
		8077	Motion Of Barclays Capital Inc. To Enforce The
			Sale Order And Secure Delivery Of All Undelivered Assets - Volume IX filed by Jonathan
			D. Schiller on behalf of Barclays Capital, Inc
			(Attachments: # 1 Exhibit 457# 2 Exhibit 469# 3
			Exhibit 470# 4 Exhibit 472# 5 Exhibit 475# 6
			Exhibit 476# 7 Exhibit 484# 8 Exhibit 485# 9
			Exhibit 504# 10 Exhibit 505# 11 Exhibit 514# 12
			Exhibit 517# 13 Exhibit 521# 14 Exhibit 522# 15
			Exhibit 523# 16 Exhibit 524# 17 Exhibit 627# 18
			Exhibit 628) (Schiller, Jonathan) (Entered:
	1/05/2010	2008	04/05/2010)
24	4/05/2010	2998	Statement Appendix To Reply Memorandum Of Barclays Capital Inc. In Further Support Of
		8078	Motion Of Barclays Capital Inc. To Enforce The
		0070	Sale Order And Secure Delivery Of All
			<i>Undelivered Assets - Volume X</i> filed by Jonathan
			D. Schiller on behalf of Barclays Capital, Inc
			(Attachments: # 1 Exhibit 630# 2 Exhibit 631# 3
			Exhibit 632# 4 Exhibit 633# 5 Exhibit 634# 6
			Exhibit 635# 7 Exhibit 636# 8 Exhibit 637# 9
			Exhibit 638# 10 Exhibit 639# 11 Exhibit 640# 12
			Exhibit 641# (13) Exhibit 642# 14 Exhibit 643#
			15 Exhibit 644# 16 Exhibit 645# 17 Exhibit 646# 18 Exhibit 647# 19 Exhibit 648# 20 Exhibit 649)
			(Schiller, Jonathan) (Entered: 04/05/2010)
25	04/20/2010	3162	Motion to Strike <i>Motion In Limine of Barclays</i>
23	0 1 /20/2010	3102	Capital Inc. for an Order Excluding the Expert
		8510	Testimony of John P. Garvey, Mark E. Slattery,
		6510	Joseph Schwaba, John J. Schneider, John J.
			Olvany, Harrison J. Goldin, and Professor Mark
			E. Zmijewski filed by Jonathan D. Schiller on
			behalf of Barclays Capital, Inc with hearing to
			be held on 5/3/2010 at 10:00 AM at Courtroom
			601 (JMP) Responses due by 4/26/2010,
			(Attachments: # 1 Exhibits A - E# 2 Exhibits F -
			L# 3 Exhibits M - Q# 4 Notice of Hearing# 5 Proposed Order) (Schiller, Jonathan) (Entered:
			04/20/2010)
			VT/ 20/ 2010)

26	04/23/2010	3186 8624	Declaration of Seth D. Rothman in Support of the Trustee's Objection to Barclays' Motion to Compel Production of Documents from LBHI, the Trustee, the Committee and their Financial Advisors filed by William R. Maguire on behalf of James W. Giddens, as Trustee for the SIPA Liquidation of Lehman Brothers Inc (Maguire, William) (Entered: 04/23/2010)
27	07/21/2010	3498 10351	Opposition/ Memorandum of Movants in Opposition to the Motion in Limine of Barclays Capital Inc. for an Order Excluding the Expert Testimony of John P. Garvey, Mark E. Slattery, Joseph Schwaba, John J. Schneider, John J. Olvany, Harrison J. Goldin, and Professor Mark E. Zmijewski (related document(s) 8510) filed by William J. Hine on behalf of Lehman Brothers Holdings Inc (Hine, William) (Entered: 07/21/2010)
28	07/21/2010	3499 10352	Declaration/ Declaration of Jayant W. Tambe in Support of Memorandum of Movants in Opposition to the Motion in Limine of Barclays Capital Inc. for an Order Excluding the Expert Testimony of John P. Garvey, Mark E. Slattery, Joseph Schwaba, John J. Schneider, John J. Olvany, Harrison J. Goldin, and Professor Mark E. Zmijewski (related document(s) 10351) filed by William J. Hine on behalf of Lehman Brothers Holdings Inc (Attachments: # 1 Exhibits 1-8# 2 Exhibits 9-10# 3 Exhibit 11, Part 1# 4 Exhibit 11, Part 2# 5 Exhibit 11, Part 3# 6 Exhibit 12# 7 Exhibits 13-15) (Hine, William) (Entered: 07/21/2010)
29	07/21/2010	3501 10354	Reply to Motion/ Reply Memorandum in Support of Motion in Limine for an Order Excluding the Expert Testimony of Professor Paul Pfleiderer (related document(s) 2957) filed by William J. Hine on behalf of Lehman Brothers Holdings Inc (Hine, William) (Entered: 07/21/2010)
30	07/21/2010	3502 10355	Declaration/ Declaration of Todd R. Geremia (related document(s) 10354) filed by William J. Hine on behalf of Lehman Brothers Holdings Inc (Attachments: # 1 Exhibits 1-2# 2 Exhibits 3-8# 3 Exhibit 9, Part 1# 4 Exhibit 9, Part 2# 5 Exhibits 10-16) (Hine, William) (Entered: 07/21/2010)

31	08/16/2010	3564 10806	Reply to Motion Reply Memorandum in Further Support of Motion in Limine of Barclays Capital Inc. for an Order Excluding the Expert Testimony of Joseph Schwaba, Mark E. Slattery, Professor Mark E. Zmijewski, Harrison J. Goldin, John J. Schneider, and John P. Garvey filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc (Schiller, Jonathan) (Entered: 08/16/2010)
32	08/16/2010	3565 10807	Affidavit Declaration of Hamish Hume in Support of Reply Brief in Further Support of Motion in Limine of Barclays Capital Inc. for an Order Excluding the Expert Testimony of Joseph Schwaba, Mark E. Slattery, Professor Mark E. Zmijewski, Harrison J. Goldin, John J. Schneider, and John P. Garvey filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc (Attachments: # 1 Appendix Index to Appendix# 2 Appendix Volume 1# 3 Appendix Volume 2# 4 Appendix Volume 3# 5 Appendix Volume 4)(Schiller, Jonathan) (Entered: 08/16/2010)
33	08/30/2010	3625 11070	Letter <i>Letter Brief on the Admissibility of BCI Exhibits 488, 493, 501, 502, 667-670, 808, 809, and 865</i> filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc (Schiller, Jonathan) (Entered: 08/30/2010)
34	08/30/2010	3626 11072	Affidavit Declaration of Hamish Hume in Support of Letter Brief for the Admissibility of BCI Exhibits 488, 493, 501, 502, 667-670, 808, 809, and 865 filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc (Attachments: # 1 Appendix)(Schiller, Jonathan) (Entered: 08/30/2010)

Documents Filed in Case No. 08-01420 (JMP) (In re Lehman Brothers Inc.)

Counter- Designation No.	Filing Date	Docket No.	Description (Docket Text)
35	09/19/2008	1	Adversary case 08-01420. Complaint against Lehman Brothers Inc. Order signed on 9/19/2008 by United Stated District Court Judge, Gerard E. Lynch. Nature(s) of Suit: (SS (SIPA Case - 15 U.S.C. 78aaa et. seq.)) James W. Giddens Appointed as Trustee and Shall File a Bond in the Amount of \$100,000.00. Filed by Hughes Hubbard & Reed, LLP on behalf of Securities Investors Protection Corporation. (Attachments: #1 Exhibit Cover Sheet) (Ebanks, Liza) Docket Text Modified on 9/30/2008 (Bush, Brent) (Receipt #USDC 663671 \$350.00 Added) Modified on 10/21/2008 (Richards, Beverly). Modified on 10/29/2008 (Gomez, Jessica). (Entered: 09/19/2008)
36	09/29/2008	28	Motion to Assume Trustee's Motion to Adopt and Incorporate by Reference an Order Establishing Procedures for Assumption and Assignment or Rejection of Executory Contracts and Unexpired Leases of Personal and Non-Residential Real Estate Property and Abandonment of Related Personal Property, as Entered in the Chapter 11 Proceedings filed by Jeffrey S. Margolin on behalf of James W. Giddens, Trustee for the SIPA Liquidation of Lehman Brothers Inc (Attachments: # 1 Exhibit A# 2 Exhibit B) (Margolin, Jeffrey) (Entered: 09/29/2008)
37	10/10/2008	97	Counter Designation (appellee) of Record and Counter Statement of Issues Presented on Appeal filed by Sarah K. Loomis on behalf of James W. Giddens, Trustee for the SIPA Liquidation of Lehman Brothers Inc (Loomis, Sarah) (Entered: 10/10/2008)

38	10/27/2008	179	Objection to Motion Trustee's Limited Objection to Motion for Rule 60 Relief Concerning Certain Contracts Erroneously Posted with the "Closing Date Contracts" (related document(s) 92) filed by Jeffrey S. Margolin on behalf of James W. Giddens, Trustee for the SIPA Liquidation of Lehman Brothers Inc with hearing to be held on 11/5/2008 (check with court for location) (Margolin, Jeffrey) (Entered: 10/27/2008)
39	01/23/2009	594	Ex Parte Order signed on 1/23/2009 Authorizing James W. Giddens, as Trustee In The Securities Investor Protection Act Liquidation of Lehman Brothers Inc. and Barclays Capital Inc. To File Under Seal Exhibit C To The Settlement Agreement. (Lopez, Mary) (Entered: 01/23/2009)
40	04/02/2009	929	Motion to Approve <i>Trustee's Motion Pursuant to Sections 105(a) and 363(b) of the Bankruptcy Code for an Order Approving a Systems Access Agreement with Barclays Capital Inc.</i> filed by Jeffrey S. Margolin on behalf of James W. Giddens, Trustee for the SIPA Liquidation of Lehman Brothers Inc with hearing to be held on 4/22/2009 at 10:00 AM at Courtroom 601 (JMP) Responses due by 4/17/2009, (Margolin, Jeffrey) (Entered: 04/02/2009)
41	04/22/2009	1018	Order Signed on 4/22/2009 Approving a Systems Access Agreement with Barclays Capital Inc. (Related Doc # 929) (Nulty, Lynda) (Entered: 04/22/2009)
42	09/15/2009	1690	FILED UNDER SEAL: The Trustees Motion for Relief Pursuant to the Sale Order or, Alternatively, for Certain Limited Relief Under Rule 60(b). (related document(s) 1682, 1685, 1321) (Lopez, Mary) (Entered: 09/16/2009)
43	09/15/2009	1692	FILED UNDER SEAL: Declaration of William R. Maguire in Support of the Trustee's Motion for Relief Pursuant to the Sale Orders or, Alternatively, for Certain Limited Relief Under Rule 60(b). (related document(s) 1321, 1684) (Lopez, Mary) (Entered: 09/16/2009)
44	10/16/2009	1972	Notice of Filing of Unsealed Declaration of James B. Kobak, Jr., in Support of the Trustees Motion for Relief Pursuant to the Sale Orders or, Alternatively, for Certain Limited Relief Under Rule 60(b) (attaching unsealed Declaration)

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45	10/16/2009	1977	Notice of Filing of Unsealed Declaration of William R. Maguire in Support of the Trustees Motion for Relief Pursuant to the Sale Orders or, Alternatively, for Certain Limited Relief Under Rule 60(b) (attaching unsealed Declaration and Exhibits)
46	04/01/2010	2945	FILED UNDER SEAL: Memorandum of Barclays Capital Inc. in Opposition to Motion in Limine Seeking to Compel Admission of Disputed Facts with Exhibit A and Exhibit B. (related document(s) 1321) (Lopez, Mary) (Entered: 04/01/2010)

Documents Filed in Case No. 08-13555 (JMP) (In re Lehman Brothers Holdings, Inc.)

Counter- Designation No.	Filing Date	Docket No.	Description (Docket Text)
47	09/15/2008	1	Voluntary Petition (Chapter 11). Order for Relief Entered. Filed by Harvey R. Miller of Weil, Gotshal & Manges, LLP on behalf of Lehman Brothers Holdings Inc (Miller, Harvey) (Entered: 09/15/2008)
48	10/09/2008	774	Designation of Contents (appellant). /Appellant Informal Noteholder Group's Designation of Record on Appeal and Statement of Issues to Be Presented on Appeal (related document(s) 427) filed by Michael Scott Stamer on behalf of Informal Noteholder Group. (Stamer, Michael) (Entered: 10/09/2008)
49	10/10/2008	812	Declaration of Eszter Farkas, dated October 9, 2008 (related document(s) 809) filed by Lisa M. Schweitzer on behalf of Barclays Capital, Inc (Attachments: # 1 Exhibit A# 2 Exhibit B# 3 Exhibit C# 4 Exhibit D) (Schweitzer, Lisa) (Entered: 10/10/2008)
50	06/25/2009	4164	Order Signed on 6/25/2009 Authorizing Discovery from Barclays Capital, Inc. (Related Doc # 3596) (Nulty, Lynda) (Entered: 06/25/2009)
51	099/15/2009	5148	Motion to Approve / Debtor's Motion for an Order, Pursuant to Fed. R. Civ. P. 60 and Fed. R. Bankr. P. 9024, Modifying the September 20, 2008 Sale Order and Granting Other Relief filed by Benjamin Rosenblum on behalf of Lehman Brothers Holdings Inc with hearing to be held on 10/15/2009 at 02:00 PM at Courtroom 601 (JMP) Responses due by 10/9/2009, (Rosenblum, Benjamin) (Entered: 09/15/2009)
52	09/15/2009	5168	FILED UNDER SEAL: Debtor's Motion for an Order, Pursuant to Fed. R. Civ. P. 60 and Fed. R. Bankr. P. 9024, Modifying the September 20, 2008 Sale Order and Granting Other Relief. (related document(s) 4524, 5148) (Lopez, Mary) (Entered: 09/15/2009)

53	10/15/2009	5515	Statement / Appendix (Volume I, Part 1) to Debtor's Motion for an Order, Pursuant to Fed. R. Civ. P. 60 and Fed. R. Bankr. P. 9024, Modifying the September 20, 2008 Sale Order and Granting Other Relief (related document(s) 5514) filed by Benjamin Rosenblum on behalf of Lehman Brothers Holdings Inc (Attachments: # 1 Appendix Volume I - A.1 (A)# 2 Appendix Volume I - A. 1 (B)# 3 Exhibit Volume I - A. 2 (A)# 4 Appendix Volume I - A. 2 (B)# 5 Appendix Volume I - A. 3 (A)# 6 Appendix Volume I - A. 3 (B)# 7 Appendix Volume I - A. 4# 8 Appendix Volume I - A. 5 (A)# 9 Appendix Volume I - A. 5 (B)# 10 Appendix Volume I - A. 6# 11 Appendix Volume I - A. 7 (A)# 12 Appendix Volume I - A. 7 (B)) (Rosenblum, Benjamin) (Entered: 10/15/2009)
54	10/15/2009	5517	Statement / Appendix (Volume I, Part 2) to Debtor's Motion for an Order, Pursuant to Fed. R. Civ. P. 60 and Fed. R. Bankr. P. 9024, Modifying the September 20, 2008 Sale Order and Granting Other Relief (related document(s) 5514) filed by Benjamin Rosenblum on behalf of Lehman Brothers Holdings Inc (Attachments: # 1 Appendix Volume I - A. 8# 2 Appendix Volume I - A. 9# 3 Appendix Volume I - A. 10# 4 Appendix Volume I - A. 11 (A)# 5 Appendix Volume I - A. 11 (B)# 6 Appendix Volume I - A. 12 (A)# 7 Appendix Volume I - A. 12 (B)# 8 Appendix Volume I - A. 13# 9 Appendix Volume I - A. 14 (A)# 10 Appendix Volume I - A. 14 (B)# 11 Appendix Volume I - A. 15) (Rosenblum, Benjamin) (Entered: 10/15/2009)

55	10/15/2009	5521	Statement / Appendix (Volume II) to Debtor's Motion for an Order, Pursuant to Fed. R. Civ. P. 60 and Fed. R. Bankr. P. 9024, Modifying the September 20, 2008 Sale Order and Granting Other Relief (related document(s) 5514) filed by Benjamin Rosenblum on behalf of Lehman Brothers Holdings Inc (Attachments: # 1 Appendix Volume II - A. 16 (A)# 2 Appendix Volume II - A. 16 (B)# 3 Appendix Volume II - A. 18# 5 Appendix Volume II - A. 19 (A)# 6 Appendix Volume II - A. 19 (B)# 7 Appendix Volume II - A. 20 (B)# 9 Appendix Volume II - A. 21# 10 Appendix Volume II - A. 22# 11 Appendix Volume II - A. 23# 12 Appendix Volume II - A. 24# 13 Appendix Volume II - A. 25 (A)# 14 Appendix Volume II - A. 25 (B)# 15 Appendix Volume II - A. 26# 16 Appendix Volume II - A. 28# 18 Appendix
56	10/15/2009	5525	Volume II - A. 29) (Rosenblum, Benjamin) (Entered: 10/15/2009) Statement / Appendix (Volume III) to Debtor's Motion for an Order, Pursuant to Fed. R. Civ. P. 60 and Fed. R. Bankr. P. 9024, Modifying the September 20, 2008 Sale Order and Granting Other Relief (related document(s) 5514) filed by Benjamin Rosenblum on behalf of Lehman Brothers Holdings Inc (Attachments: # 1 Appendix Volume III - A. 30# 2 Appendix Volume III - A. 33# 4 Appendix Volume III - A. 34 - A. 41# 5 Appendix Volume III - A. 42# 6 Appendix Volume III - A. 43 - A. 45# 7 Appendix Volume III - A. 60 - A. 53# 8 Appendix Volume III - A. 61 - A. 70# 10 Appendix Volume III - A. 81 - A. 85# 12 Appendix Volume III - A. 86 - A. 96) (Rosenblum, Benjamin) (Entered: 10/15/2009)

57	10/15/2009	5527	Statement / Appendix (Volume IV) to Debtor's Motion for an Order, Pursuant to Fed. R. Civ. P. 60 and Fed. R. Bankr. P. 9024, Modifying the September 20, 2008 Sale Order and Granting Other Relief (related document(s) 5514) filed by Benjamin Rosenblum on behalf of Lehman Brothers Holdings Inc (Attachments: # 1 Appendix Volume IV - A. 97 - A. 100# 2 Appendix Volume IV - A. 101 - A. 105# 3 Appendix Volume IV - A. 106# 4 Appendix Volume IV - A. 110# 5 Appendix Volume IV - A. 111 - A. 120# 6 Appendix Volume IV - A. 121 - A. 129# 7 Appendix Volume IV - A. 130 (A)# 8 Appendix Volume IV - A. 131 - A. 133# 10 Appendix Volume IV - A. 136 - A. 147)
58	10/15/2009	5528	(Rosenblum, Benjamin) (Entered: 10/15/2009) Statement <i>Appendix (Volume V) to Debtor's Motion for an Order, Pursuant to Fed. R. Civ. P. 60 and Fed. R.</i> Bankr. P. 9024, Modifying the September 20, 2008 Sale Order and Granting Other Relief (related document(s) 5514) filed by Benjamin Rosenblum on behalf of Lehman Brothers Holdings Inc (Attachments: # 1 Appendix Volume V - A. 148 (A)# 2 Appendix Volume V - A. 148 (B)# 3 Appendix Volume V - A. 150# 5 Appendix Volume V - A. 151# 6 Appendix Volume V - A. 152) (Rosenblum, Benjamin) (Entered: 10/15/2009)
59	11/04/2009	5714	Notice of Presentment of Confidentiality Stipulation and Protective Order Among Barclays Capital Inc., Lehman Brothers Holdings, Inc., and Weil, Gotshal & Manges LLP filed by Shai Waisman on behalf of Lehman Brothers Holdings Inc with presentment to be held on 11/12/2009 at 12:00 PM at Courtroom 601 (JMP) Objections due by 11/12/2009, (Waisman, Shai) (Entered: 11/04/2009)

60	11/16/2009	5844	Adversary case 09-01733. Complaint against Lehman Brothers Holdings Inc., Lehman Brothers, Inc., LB 745 LLC, Barclays Capital Inc. /Complaint for Declaratory Relief Pursuant to 11 U.S.C. Section 105(a) and 28 U.S.C. Sections 2201, 2202 and for an Accounting. Nature(s) of
			Suit: (91 (Declaratory judgment)) Filed by James Tecce, Susheel Kirpalani, The Official Committee of Unsecured Creditors of Lehman Brothers Holdings Inc on behalf of The Official Committee of Unsecured Creditors of Lehman Brothers Holdings Inc. (Tecce, James) (Entered: 11/16/2009)
61	08/30/2010	11071	Letter/ Letter Brief filed by William J. Hine on behalf of Lehman Brothers Holdings Inc (Attachments: # 1 Exhibit A# 2 Exhibit B)(Hine, William) (Entered: 08/30/2010)
62	10/04/2010	11781	Letter <i>Brief in Support of Barclays' Motion to Admit PwC Exhibits</i> filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc (Attachments: # 1 Exhibit Exhibits 1-12)(Schiller, Jonathan) (Entered: 10/04/2010)
63	10/06/2010	11849	Letter / LBHI Letter Brief re Admissibility of PwC Documents (related document(s) 11781) filed by William J. Hine on behalf of Lehman Brothers Holdings Inc (Attachments: # 1 Movants' Objections to Barclays Capital Inc.'s Proposed PwC Exhibits (As of 10/3/10))(Hine, William) (Entered: 10/06/2010)

Documents Filed in Case No. 09-01731 (JMP) (Lehman Brothers Holdings, Inc. v. Barclays Capital, Inc.)

Designation No.	Filing Date	Docket No.	Description (Docket Text)
64	11/16/2009	1	Adversary case 09-01731. Complaint against Barclays Capital, Inc Nature(s) of Suit: (11 (Recovery of money/property - 542 turnover of property)), (14 (Recovery of money/property - other)) Filed by William J. Hine, Robert W. Gaffey, Lehman Brothers Holdings Inc. on behalf of Lehman Brothers Holdings Inc (Hine, William) (Entered: 11/16/2009)
65	1/13/2010	4	So Ordered Stipulation and Order Signed on 1/13/2010 Between the Debtors, Trustee, Committee and Barclays Capital Inc. Concerning Certain Claims Made in Adversary Complaints Filed by LBHI, SIPA Trustee and Creditors Committee. (Nulty, Lynda) (Entered: 01/13/2010)
66	05/18/2011	7	Motion for Summary Judgment / LBHI's Memorandum of Law in Support of Its Motion for Summary Judgment on Count II of Its Adversary Complaint Against Barclays Capital Inc. filed by William J. Hine on behalf of Lehman Brothers Holdings Inc with hearing to be held on 7/19/2011 at 02:00 PM at Courtroom 601 (JMP) Responses due by 6/17/2011, (Attachments: # 1 Notice of Motion for Summary Judgment# 2 Rule 56 Statement) (Hine, William) (Entered: 05/18/2011)
67	05/18/2011	8	Declaration of William J. Hine in Support of LBHI's Motion for Summary Judgment on Count II of Its Adversary Complaint (related document(s) 7) filed by William J. Hine on behalf of Lehman Brothers Holdings Inc with hearing to be held on 7/19/2011 at 02:00 PM at Courtroom 601 (JMP) Objections due by 6/17/2011, (Attachments: # 1 Exhibit 1# 2 Exhibits 2 - 13# 3 Exhibits 14 - 27# 4 Exhibits 28 - 40) (Hine, William) (Entered: 05/18/2011)
68	07/15/2011	11	Order signed on 7/15/2011 Dismissing Count III, Count IV, Count V, Count VI and Count IX in Lehman Brothers Holdings, Inc. Adversary Complaint. (Lopez, Mary) (Entered: 07/15/2011)

69	07/20/2011	12	Reply to Motion / LBHI's Reply Memorandum of Law in Further Support of Its Motion for Summary Judgment and in Opposition to Barclays Capital, Inc.'s Cross Motion for Summary Judgment (related document(s) 7) filed by William J. Hine on behalf of Lehman Brothers Holdings Inc (Attachments: # 1 Rule 56 Response) (Hine, William) (Entered: 07/20/2011)
70	07/20/2011	13	Declaration/Supplemental Declaration of William J. Hine in Support of LBHI's Motion for Summary Judgment on Count II of Its Adversary Complaint (related document(s) 12) filed by William J. Hine on behalf of Lehman Brothers Holdings Inc with hearing to be held on 9/7/2011 (check with court for location) (Attachments: # 1 Exhibits 1-3) (Hine, William) (Entered: 07/20/2011)
71	7/28/2011	14	Notice of Appeal (related document(s) 11) filed by William J. Hine on behalf of Lehman Brothers Holdings Inc (Attachments: # 1 Exhibit A)(Hine, William) (Entered: 07/28/2011)
72	08/05/2011	15	Reply to Motion <i>Barclays Reply Memorandum In Support Of Its Motion For Summary Judgment</i> filed by Jonathan D. Schiller on behalf of Barclays Capital, Inc (Attachments: # 1 Exhibit A) (Schiller, Jonathan) (Entered: 08/05/2011)

Documents Filed in Case No. 09-01732 (JMP) (James W. Giddens, as Trustee for the SIPA Liquidation of Lehman Brothers Inc. v. Barclays Capital Inc. and Barclays Bank PLC)

Counter- Designation No.	Filing Date	Docket No.	Description (Docket Text)
73	11/16/2009	1	Complaint against Barclays Capital Inc Nature(s) of Suit: (91 (Declaratory judgment)), (11 (Recovery of money/property - 542 turnover of property)), (14 (Recovery of money/property - other)) Filed by James W. Giddens, as Trustee for the SIPA Liquidation of Lehman Brothers Inc (Maguire, William) (Entered: 11/16/2009)
74	1/13/2010	5	So Ordered Stipulation and Order Signed on 1/13/2010 Between the Debtors, Trustee, Committee and Barclays Capital Inc. Concerning Certain Claims Made in Adversary Complaints Filed by LBHI, SIPA Trustee and Creditors Committee. (Nulty, Lynda) (Entered: 01/13/2010)
75	07/15/2011	8	Motion to Join / Joint Motion of Barclays Capital Inc., Barclays Bank PLC and the SIPA Trustee for Joinder of Barclays Bank PLC as a Party to Pending Litigation filed by William R. Maguire on behalf of James W. Giddens, as Trustee for the SIPA Liquidation of Lehman Brothers Inc (Maguire, William) (Entered: 07/15/2011)
76	07/15/2011	9	Stipulation and Order signed on 7/15/2011 Amending the Trustee's Adversary Complaint. (Lopez, Mary) (Entered: 07/15/2011)
77	07/15/2011	10	Order signed on 7/15/2011 Granting Joint Motion for Joinder of Barclays Bank PLC. (Related Doc # 8) (Lopez, Mary) (Entered: 07/15/2011)
78	07/15/2011	11	Amended Complaint against Barclays Capital Inc. Filed by William R. Maguire on behalf of James W. Giddens, as Trustee for the SIPA Liquidation of Lehman Brothers Inc (Maguire, William) (Entered: 07/15/2011)
79	07/15/2011	12	Order signed on 7/15/2011 Resolving The Trustee's Amended Adversary Complaint. (Lopez, Mary) (Entered: 07/15/2011)
80	07/15/2011	13	Judgment for the Trustee signed on 7/15/2011 (White, Greg) (Entered: 07/15/2011)

81	07/15/2011	14	Notice of Appeal filed by Jonathan D. Schiller on behalf of Barclays Capital Inc (Attachments: # 1 Exhibit A. Order Resolving Barclays' Motion # 2 Exhibit B. Order Resolving The Trustee's Motion # 3 Exhibit C. Order Resolving The Trustee's Amended Adversary Complaint # 4 Exhibit D. Judgment For The Trustee)(Schiller, Jonathan) (Entered: 07/15/2011)
82	07/15/2011	15	Judgment for Barclays Capital Inc. signed on 7/15/2011 (White, Greg) (Entered: 07/15/2011)
83	07/22/2011	18	Notice of Appeal / Notice of Cross Appeal and Appeal filed by William R. Maguire on behalf of James W. Giddens, as Trustee for the SIPA Liquidation of Lehman Brothers Inc. (Maguire, William) (Entered: 07/22/2011)

Additional Materials¹

Counter- Designation No.	Document Date	Description
Oral Argument Materials (Submitted to Court)		
84	09/10/2010	Movants' Argument Materials for Hearing on Motions to Exclude Experts
85	09/10/2010	Barclays' Demonstratives for Hearing on Motions to Exclude Experts
Correspondence to Hon. James M. Peck, U.S.B.J.		
86	03/25/2010	Letter from J. Schiller to Hon. James M. Peck, U.S.B.J.
87	03/25/2010	Letter from R. Gaffey to Hon. James M. Peck, U.S.B.J.
88	08/30/2010	Letter Brief from J. Schiller to Hon. James M. Peck, U.S.B.J.
89	08/30/2010	Letter Brief from R. Gaffey to Hon. James M. Peck, U.S.B.J.
90	10/19/2010	Letter from C. Green to Hon. James M. Peck, U.S.B.J.
91	12/20/2010	Letter from J. Schiller to Hon. James M. Peck, U.S.B.J.
92	03/04/2011	Letter from J. Schiller to Hon. James M. Peck, U.S.B.J.
93	03/04/2011	Letter from J. Tecce to Hon. James M. Peck, U.S.B.J.
94	03/04/2011	Letter from R. Gaffey to Hon. James M. Peck, U.S.B.J.
Stipulations		
95	12/02/2009	Stipulation Regarding Expert Discovery

¹ Pursuant to L. R. Bankr. P. 8007-1(b), and in consideration of the unusual bulk and volume of the "Additional Materials" listed herein, counsel for Appellee shall maintain custody of the same until such time as it can arrange for delivery to the District Court.

Dated: August 25, 2011 New York, New York

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